

Performance of Test Bench testing for the evaluation of grid compliance of Wind and Solar generating units

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Abstract—In the present paper, an investigation of the topic of test bench testing of wind and solar stations is performed. The aim is to review the currently available types of test benches and to identify to which extent measurements under controlled conditions can be used for the certification of the generating units instead of actual field measurements, which is the standard practice up to now. A discussion on the advantages and restrictions is done and specific proposals for the establishment of optimal certification procedures are formulated.

Keywords—component; Field measurements; grid compliance; IEC; solar inverters; sub-system test; test bench; wind turbines

I. INTRODUCTION

The state of the art in the grid compliance testing of wind turbines (WTs) and solar inverters, is to perform the tests in the field and only some components, such as the grid protection devices, may be tested at laboratory facilities. Solar inverters are often tested at test benches, where the solar panel is emulated by a DC Source.

Field testing provides the advantage of examining the behavior of the complete system at actual environmental and grid conditions. On the other hand, the use of test bench facilities is generally convenient for the manufacturers during the development of new prototypes, as it gives the possibility of performing special tests, not limited to the electrical characteristics, for the investigation and optimization of the performance of WT or solar inverter components in a controlled and systematic manner. Furthermore, testing under controllable conditions is helpful for the validation of the simulation models and offers the chance for deploying

relevant R&D activities. Especially for the evaluation of grid compliance, there is a growing consensus that electrical characteristics relevant to the provision of ancillary services, such as voltage dependency of reactive power or set-point active/reactive power control, can be sufficiently tested on a test bench level but for demonstrating the fault ride through (FRT) capability or power quality (PQ) performance, there are still many open technical issues to be addressed and discussed in order to establish a standardized and universally accepted procedure.

Main concerns which have to be investigated are the following:

- Which electrical characteristic parameters can be reliably tested at a test bench and which are the restrictions?
- Which tests are imperative to be performed in the field?
- How does the test bench characteristics influence the performance of the tested units?
- How can we draw conclusions for the performance of the complete unit based on the subsystem or component testing?

Driven by such concerns, IEC has recently launched a dedicated Working Group aiming at the thorough technical investigation of the topic, in order to define the relevant requirements to be included in a separate international procedure for the measurement and assessment of wind turbine components and subsystems (future IEC 61400-21-4 [1]). Further research work on this topic is ongoing in Germany, in the context of the project CertBench [2], where UL is member of the Consortium, in which the comparison of measurement results taken at test bench with electrical

properties determined during field measurements is being performed.

Taking the above into account, the aim of the present paper is to shed some light on the open questions regarding the use of test bench facilities for the testing of grid compliance of power generating units (mainly wind and solar stations), in order to provide a necessary technical background to be considered for the grid compliance evaluation. The work consists of the following main parts:

- Description of the international experience and review of worldwide standardization activities in test bench testing
- Classification of test benches in terms of complexity (e.g. constant or varying torque, rotor modelling, inverter only without rotating machine etc.) and proposals for options that can be really applied from practical perspective.
- Proposal for the range of validity of the tests at a test bench
- Proposal for the field and test bench testing for the main electrical characteristics.

Regarding the structure of the paper, in Section II the current status and progress in the topic of test bench testing is done. Section III includes a technical discussion about the classification of test benches with regards to their testing scale level (e.g. full-scale nacelle testing, components testing etc.). In Section IV the expected differences between the field testing and test bench testing for the main electrical characteristics are presented and discussed, while the conclusions of the present work are summarized in Section V.

II. INTERNATIONAL EXPERIENCE IN TEST BENCH TESTING

The evaluation of grid code compliance of power generating units (PGUs), especially for WTs, is traditionally based on actual field measurements on the full scale prototypes. The relevant test results are used afterwards for direct comparison with the grid code limits as well as for the validation of simulation models by the manufacturers and the certification bodies. For these purposes, specific standards and guidelines have been developed. IEC 61400-22 [5] refers to the general certification requirements for WTs and it is used by all certification bodies worldwide in the global wind market. In this standard, particular requirements for the electrical performance certification is also included, but at a quite limited extent. It is mainly up to the certification bodies to define further rules depending on the requirements of the specific grid code under investigation. In some countries, more detailed guidelines for certification have been developed, like the FGW TR8 in Germany [9].

Simulation of the behavior of the PGUs and the validation of the constructed models plays a very important role in this certification process because they provide the possibility to examine the PGU performance under pre-defined conditions that are different from the actual conditions during field measurements. For WTs, this topic is addressed by the IEC 61400-27-1 [6], which specifies dynamic simulation models for generic wind turbine topologies/concepts/configurations existing in the market, with the aim to study the electrical characteristics of WTs at the connection terminals. WT modeling as well as modeling of other PGUs is also covered

in FGW TR4 [8], which is applicable in the German market. Especially for WT model validation, this topic is also covered in IEC 61400-27-1 and expected to be included in a separate standard (IEC 61400-27-2) in the near future [7].

In such an environment of increasing certification needs (model validation at various conditions, diversity of the requirements of grid codes etc.), the possibility of testing of electrical characteristics at test benches has become in focus of the certifying bodies and the manufacturers for a more thorough investigation of the PGU performance. Testing on a test bench system may provide the advantage of examining the PGU response under pre-defined and controllable conditions but, in parallel, requires that the real test conditions and the behavior of the PGU can be represented with sufficient accuracy. These points are taken into account in the recent editions of the relevant standards, where the test bench testing option is introduced.

In the recently published new IEC 61400-21-1 [3], the concept of subsystem and component testing in WTs is introduced in a systematic way and different system levels are defined. The idea is that for some electrical characteristics, such as the grid protection, it will be sufficient if the complete testing is on the component level (e.g. the protection device) rather than on the WT level. Besides, further subsystem tests are also defined on optional basis, if certain conditions are met, with the aim to assist for a more detailed assessment of simulation models and grid code compliance. In FGW TR3 [4], a definition of test bench requirements is provided in Annex D. The use of test bench tests, instead of measurements in the field, is acceptable only if the PGU response is equivalent to measurements in the field or if it is modelled for use in a free field testing equipment. In any case, the advance agreement with the certification body is mandatory. In order to strengthen the validity of the tests, specific requirements on the test bench specifications for WTs, solar units and combustion engines are also provided, as will be explained in Section III. Apart from these two testing standards, particular R&D activities for investigating the topic of test bench testing are ongoing in some countries, like project CERTBENCH in Germany.

In order to harmonize the requirements and specify a uniform test procedure for test benches on a global basis, a new work item proposal has been submitted and approved by the IEC Technical Committee 88, for the development of a relevant Technical Specification of the measurement and assessment of electrical characteristics of WT components & subsystems [1]. Particular aspects under investigation under this IEC working group, to be included in the final document, are the following:

- Definitions of test benches - subsystems and description of the necessary interfaces
- Definitions of system requirements for the test bench to perform this measurements (e.g. harmonic distortion, short circuit power etc.)
- Test and measurements procedures of electrical characteristics of components & subsystems, in relation to grid compliance requirements
- Procedures for the transferability of the component and subsystem test results, measured at the test bench, to WT product families
- Documentation requirements and validation procedures of components, subsystems and complete WTs

III. CLASSIFICATION OF TEST BENCHES

Following the increasing interest for test bench testing, certain test bench facilities are being developed by private companies or research and development institutes worldwide. The fundamental requirement for the development of a suitable test bench system is that it must be capable of emulating a grid which fulfills the PQ limits imposed by the relevant local or international standards. According to FGW TR3, a test bench test can constitute of three different areas: the power source (simulation of the primary energy source), the power output (simulation of the grid and grid dynamics) and the tested component of the device under test (DUT). Each of these categories must meet certain requirements.

In Fig. 1, a simplified schematic diagram of a test bench layout for the case of WT or Solar units is shown. However, it is recognized that a test bench can be constructed with several levels of complexity. A mapping of the available global test and demonstration facilities is included in [11].

Test benches may be classified according to specific criteria of the test bench and of the DUT. Generally the device under test may comprise:

- Components, like the generator, the converter, the control or the transformer
- Systems, like the generator in combination with the converter and the control system
- Nacelle, where the complete electrical system including the control is included.

The test bench itself can have different complexity and different control strategies:

- The source, simulating the primary energy, can be a prime mover or an AC or a DC-source (for the inverter)

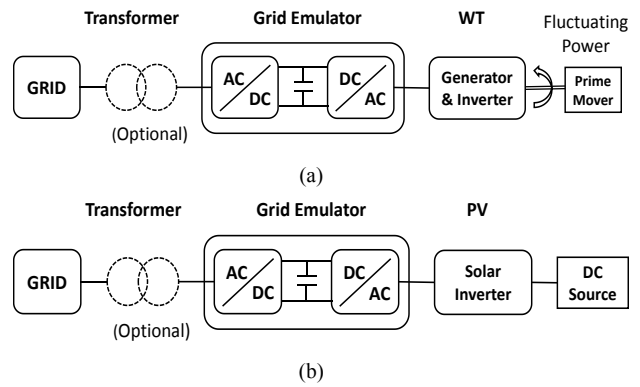


Fig. 1. Example of a test facility with an inverter based grid emulator for wind turbines (a) and for solar inverters (b)

- The prime mover can have constant speed or torque or it can simulate the wind speed and rotor behavior including the eigenfrequencies of the WT and of the tower by fluctuating speed and torque.
- The DUT can either be connected to the real grid (at low voltage or medium/high voltage level) or to a (converter based) grid emulator.

In Fig. 2 an overview of possible variants of test benches and of the device under test is given. From the test bench side the primary source replaces the wind speed and the rotor for a WT or it replaces the solar panel. The primary source can either be a Prime Mover or an AC or a DC Source, depending on the DUT type. The grid connection can be done either directly to the public grid of the test bench site or by a grid emulator, where possibly the voltage, the frequency and the output impedance are adjustable. The DUT can either be a component (like the converter, the generator or the transformer) or it can be a system or the whole Nacelle of a WT. The system consists of two or more components, generally including the control of the system. One example

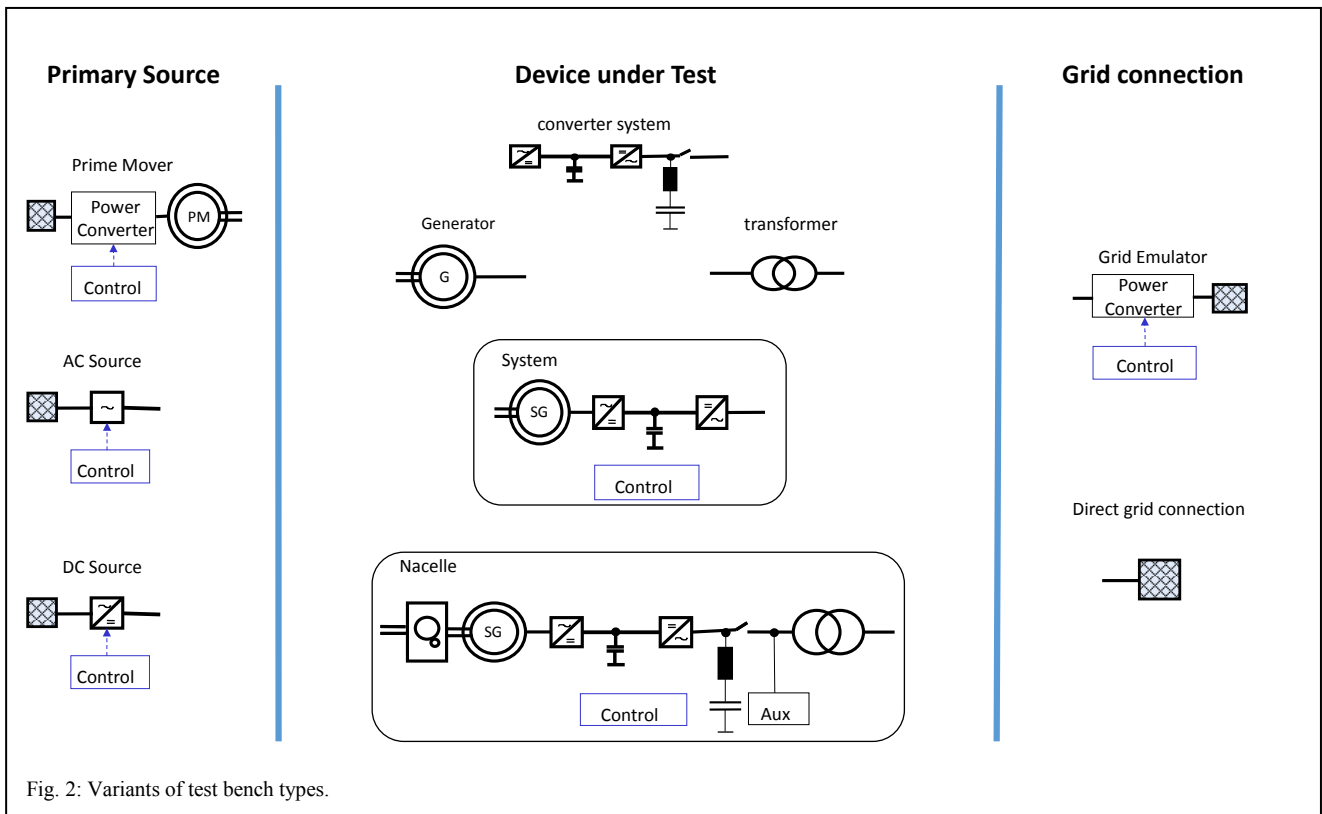


Fig. 2: Variants of test bench types.

for such a system is the generator, the converter, the filters and the complete control of this system. The nacelle is also a system, but where all the components of the nacelle of the wind turbine are included. Only the rotor and the wind speed are emulated by the prime mover.

However for running the device under test at a test bench it is important to define the interfaces between the primary source, the device under test and the grid emulation. In real operation the device under test may have sensors and actuators, which are not available at the test bench, e.g. the pitch system of the rotor blades. But their influence should be considered at the test bench operation.

IV. TESTING OF ELECTRICAL CHARACTERISTICS

Considering the different types of test benches described in the previous section, the applicability and limitations of test bench testing of electrical characteristics (power quality, steady state operation, control, dynamic performance and disconnection from the grid) are given below.

A. Flicker measurements

It is recognized nowadays that flicker emission levels of inverted-based PGU technologies are generally of minor significance with regards to the compliance with the grid code limits. However, as the flicker-related frequencies, which are mainly lying in the sub-synchronous frequency range, are still present in the PGUs, flicker testing remains mandatory in all testing standards. In addition, flicker disturbance is still potentially high in case of directly connected synchronous and asynchronous generators used e.g. for Combined Heat and Power units (CHPs) or for small RES installations.

For testing the flicker emission, the required test level depends on the type of the DUT. In case of WTs, flicker shall be tested in the free field (full-scale tests), so that the influence of the aerodynamic part is thoroughly incorporated. Further measurements at test bench can be also performed to serve as a basis for the development and testing of flicker mitigation mechanisms through the control of the tested unit. Test bench tests may be also performed as a supplement to full-scale testing, for example for the evaluation of switching operations if a new inverter is used. For solar inverter testing, as the change in the input DC source power level can be directly adjusted, it is acceptable to perform tests at test bench, still in the complete power range but with smaller number of data required to be recorded, as described in the FGW TR3 [4]. Similar conditions may apply also for CHPs.

B. Harmonic measurements

As the main source of harmonics in the modern power systems are considered to be the power converters of the PGUs, it is generally acceptable to perform harmonic measurements on a test bench level, focusing on the performance of the inverter at different operating levels and ignoring the rest PGU subsystem. Especially for WTs, an important issue for consideration is the harmonic behavior of the doubly fed asynchronous generators (DFAG) used for many WT models. In DFAG WTs, the low frequency components change continuously with the rotational speed of the generator and influence significantly the actual harmonic emission. To address this feature accurately at a test bench facility, it is necessary that the combine generator-inverter system is tested and at various operating ranges as shown in Fig. 1(a). Another question for investigation is how to

measure the total harmonic emission of a unit consisting of multiple inverters.

On the other hand, it is experienced that the measured harmonic currents are strongly influenced by the background voltage harmonic distortion, by resonances of the grid impedances and by other disturbances in the grid at the point of connection. This means that the measured harmonic values, to be included in the relevant Test Reports, are not always representative of the actual harmonic emissions of the tested units but contain components which are attributed to the grid influence, where the WT or PV inverter either acts as a passive consumer of harmonics coming from the grid or actively improves the harmonic voltages. This issue is still a major topic for investigation for the harmonic measurements at test benches, where the use of an inverter based simulator results in a considerable background harmonic distortion, which often coincides with the switching frequencies of the DUT inverter. In Fig. 3, an example of the influence of the harmonic distortion of a grid emulator on the actual harmonic performance of the DUT is shown. After changing the switching frequency of the grid emulator, the measured current harmonics of the DUT were significantly different, a fact which reveals the need for a more systematic methodology to be followed for the harmonic test bench testing. The grid emulator to be used shall at least meet some minimum requirements regarding the total harmonic distortion and probably for some critical individual harmonics, while the methodologies for the determination of the grid influence included in FGW-TR3 and in the new IEC 61400-21-1 standard shall be also exploited, to derive unbiased results.

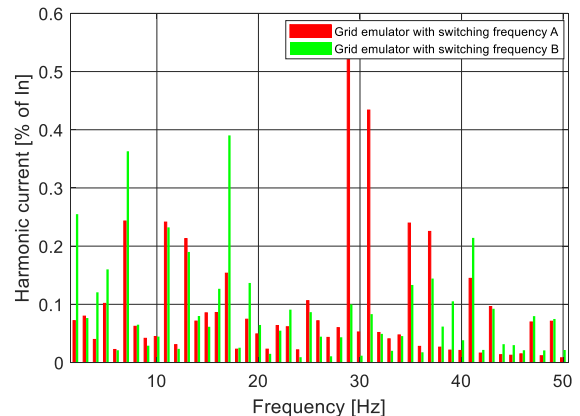


Fig. 3. Comparative harmonic measurement results performed at a PGU at a test bench with inverter based grid emulator. The two tests were performed with different switching frequency settings of the grid emulator.

C. Steady state operation

This group of electrical characteristics mainly constitutes of measurements of maximum active power, and reactive power capability, as defined in the IEC 61400-21-1. For WTs, the maximum active power and the reactive power at $Q=0$ (nominal operational mode) can be calculated from the same data set collected for flicker measurements. Thus additional tests at a test bench are not necessary.

For reactive power capability and voltage dependency of PQ diagram, it is possible to perform the tests at a test bench on a subsystem level. The reactive power behavior of a WT is mainly affected by the converter system, the generator, any

filter and auxiliaries and the control. It is important, that at least these components will be tested for reactive power behavior as a system at the test bench. Generally the reactive power capability and behavior is dependent on the actual voltage. The grid emulator allows to vary the voltage for the DUT. Thus it is possible to test and measure the reactive power for the whole voltage range of the DUT, often from 85% till 110% of nominal voltage.

D. Control performance

As the main control functions in the PGUs are taken over by the inverters' controllers, it is possible to test control performance on subsystem level. However, since the rotor dynamics and the response of the complete mechanical system plays an important role in WTs, active power set point and ramp rate limitation control are still suggested by the IEC 61400-21-1 to be performed in free field. Testing of these two parameters at a subsystem level can be accepted only if certain conditions are fulfilled.

For testing of reactive power control on test benches, the requirements as described in subsection C for steady state operation must be fulfilled. In case of Q(U) functionality the grid emulator gives advantages for testing of the whole voltage range, but an adequate (grid) impedance of the emulator should be included to perform tests for real grid situations.

For frequency control the grid emulator allows a real change in frequency, not only for the control, but for the complete drive train and the converter system.

The same is valid also for Synthetic inertia control. However for the prime mover of the test bench it must be considered, that the rotational speed of the rotor of the WT will be decreased during the test according to the real dynamic behavior of the rotor. Following this also the power output of the prime mover must decrease for lower rotational speeds.

E. Dynamic behavior

For the performance of FRT Capability tests, specific requirements for the performance of the grid emulator are set by the IEC 61400-21-1 and FGW TR3 standards. For WTs, a full-scale free field testing is recommended, following the IEC 61400-21-1, as the reactance of the complete system in the grid faults, needs to be thoroughly examined. The rotor of the WT influences the dynamic behavior of the WT during the grid faults, as well as the whole drivetrain. However sometimes small auxiliary components are not prepared for FRT and cause a tripping of the WT during grid faults. Thus also such small auxiliaries must be included in the tests. On the other hand some additional tests with different settings in e.g. reactive power or current during the fault may be performed at test benches.

For PGU inverters, the use of a grid emulator at a test bench is acceptable, as the inverter control is the main component involved in fulfilling the relevant requirements.

F. Summary

In general the electrical behavior of PV inverters can be tested at test benches, where the primary source is emulated by a DC-source, representing the behavior of the solar panel. The DC-voltage shall have the voltage level and range of the real PV installation. The tests can be performed either where

the PV inverter is directly connected to the grid or by a grid emulator.

From the actual experience and the discussions in several working groups for WTs the following suggestions in TABLE I may give an overview of the test levels and requirements for the testing of the electrical characteristics.

TABLE I. SUGGESTED LEVELS FOR THE TESTING OF ELECTRICAL CHARACTERISTICS OF WIND TURBINES

Category	No	Parameter	Suggestion
Power Quality	1	Flicker in continuous operation	Testing in free field only
	2	Flicker in switchings	
	3	Harmonics	Can be tested at test benches, but the harmonic voltage emission and the impedance of the grid emulator must be considered
Steady state	4	Maximum active power	Testing in free field only
	5	Reactive power at Q=0	Will generally be tested in combination with flicker in continuous operation
	6	Maximum reactive power (over/under excited)	Can be tested at test benches, but all components of the WT affecting reactive power must be included in the tests
	7	Voltage dependency of PQ diagram	Can be tested at test benches. The grid emulator allows a wide variety of the voltage
	8	Unbalance factor	Will generally be tested in combination with flicker in continuous operation, but can also be tested at test benches. In case of the use of a grid emulator the voltage unbalance and the (grid) impedance of the emulator should be considered
Control performance	9	Active power set point control	Due to the influence of the rotor and of the drive train of the WT it is suggested to do the tests at free field
	10	Active power ramp rate limitation	
	11	Frequency control	Can be tested at test benches.
	12	Synthetic Inertia	Can be tested at test benches, but the prime mover must emulate the behavior of a real rotor of the WT
	13	Reactive power control	Can be tested at test benches. The grid emulator allows a wide variety of the voltage
FRT	14	FRT Capability	Full scale free field testing is suggested, because the complete WT with all components must be tested. Some additional tests with different settings (e.g. reactive power/currents) may be performed at test benches.
Disconnection from grid	15	Grid protection	Can be tested on test benches on component level
	16	Reconnection Time	Can be tested at test benches, as long as the same environmental conditions are ensured.
	17	Rate of change of frequency	Can be tested on test benches on component level

G. Competencies of the Testing Laboratories

To ensure transparency and traceability of the certification tests, the tests and measurements described in the previous paragraphs must be performed by measuring institutes accredited for the relevant services according to ISO/IEC 17025 [15]. The measurement equipment shall meet the accuracy requirements of IEC 61400-21-1 [3]. All currents and voltages must be recorded with a sampling rate ≥ 4 kHz for flicker and switchings, ≥ 10 kHz for FRT testing and ≥ 20 kHz for harmonics.

V. CONCLUSIONS

This paper includes a technical approach on test bench testing of power generating units (including wind turbines and photovoltaics), with the aim to recognize the additional capabilities and constraints compared to the standard onsite full-scale testing procedures.

The main conclusion is that test bench testing can be used for the grid compliance testing of PV inverters and single electrical generators, as soon as specific requirements for the formulation of the background grid, the DC power source and the emulation of grid faults are fulfilled. For WTs, several tests relevant to the performance of the WT controller and protection system can be also performed at a test bench but for flicker and FRT the full-scale testing is recommended. In these cases, additional test bench tests can be performed if necessary for model validation purposes or for the further investigation of alternative control parameters. In all cases, the general principle is that if a test can be carried out on-site, then it should.

It is believed that the content of this paper will help for the clarification of certain open questions and concerns regarding test bench testing and contribute to the establishment of a common understanding among all involved parties (manufacturers, grid operators & regulatory authorities, certification bodies etc.) regarding the acceptable options for grid compliance testing of power generating units.

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